

# Mark-recapture of microorganisms

John George McMullen II  | Jay T. Lennon 

Department of Biology, Indiana University, Bloomington, Indiana, USA

## Correspondence

John George McMullen II and Jay T. Lennon, Department of Biology, Indiana University, 1001 E 3rd St., Bloomington, IN 47405, USA.  
Email: [jgmcmull@iu.edu](mailto:jgmcmull@iu.edu) and [lennonj@indiana.edu](mailto:lennonj@indiana.edu)

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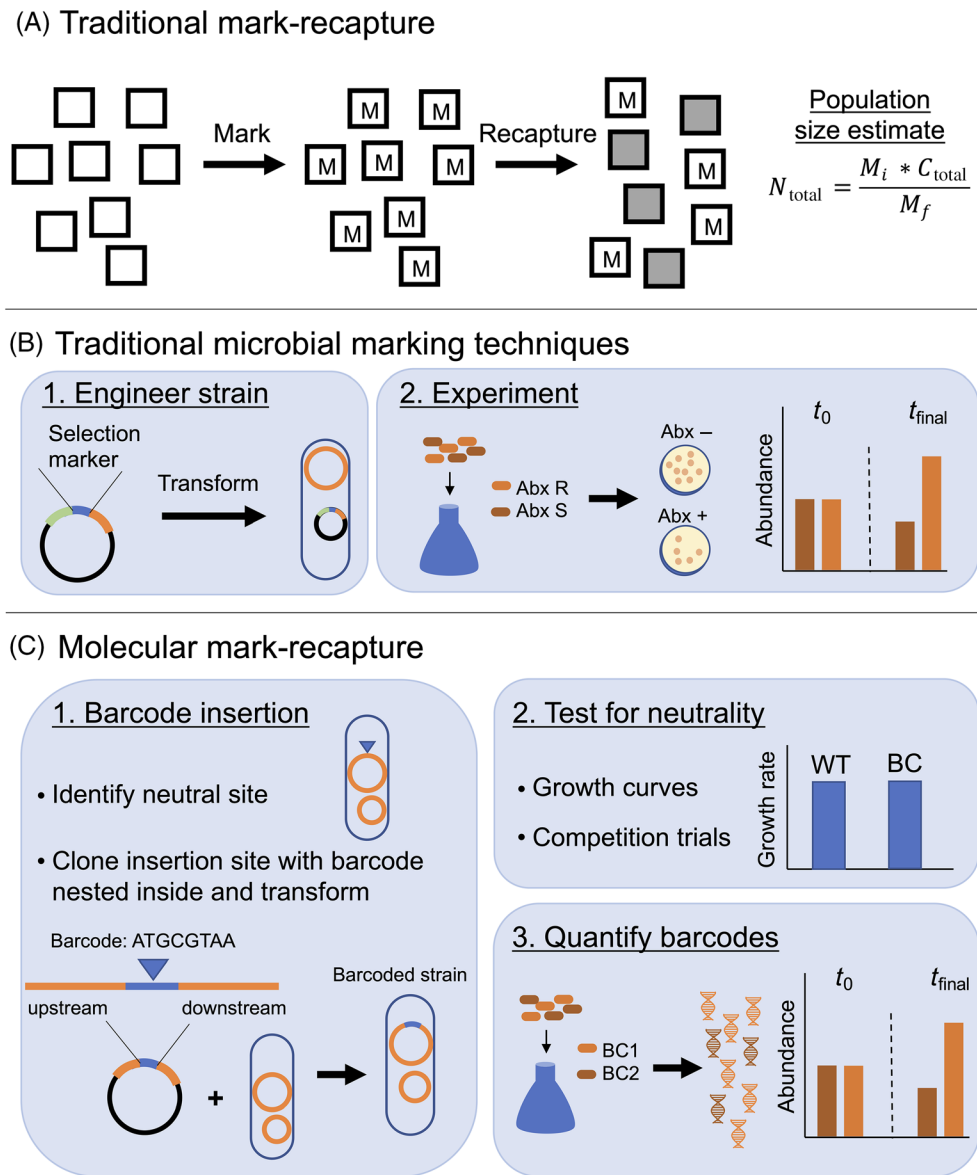
Fundamental questions in ecology and evolution require the quantitative tracking of individuals across space and time. For more than a century, mark-recapture techniques have been used to estimate demographic events and population dynamics of animal and plant species. Recent developments in molecular barcoding allow for the monitoring of microorganisms while meeting many of the key assumptions of traditional mark-recapture studies. Beyond applications related to the biosecurity of human pathogens, molecular barcoding is emerging as a cost-effective, versatile, and scalable tool to quantify species interactions, biogeographic ranges, and evolutionary dynamics in simple and complex communities. Although there are logistical and ethical issues related to the release of barcoded organisms, mark-recapture provides opportunities to test theory and better understand the evolutionary ecology of microorganisms in the wild.

**Traditional mark-recapture**—Mark-recapture has been used for more than a century to study animal and plant populations (Goudie & Goudie, 2007; Maunder, 2004). The primary purpose of mark-recapture is to estimate the size of a population in a given area where the total count of individuals cannot readily be determined. The basic approach involves capturing some portion of the population, marking those individuals, and releasing them back into the environment. After a subsequent recapture event, one can estimate the population size based on the ratio of unmarked and marked individuals (Figure 1A). By studying a population over time, mark-recapture can be used to make inferences about migration, survivorship, reproduction, and geographic range limits (Creel & Rosenblatt, 2013; Sandercock, 2003).

There are a number of important assumptions to consider when using mark-recapture. First, marked individuals are assumed to be an unbiased sample of the larger population. Second, markers should not be lost or misread over time, nor should they influence the performance of the marked organism, including their interactions with unmarked individuals (Lindberg, 2012). Third, marked and unmarked individuals should have an equal probability of being recaptured. Last, it is assumed that births, deaths, and migration events do not influence population size, unless mark-recapture is explicitly used to model population dynamics over time (Lindberg, 2012). While difficult to achieve in all instances, the general assumptions of mark-recapture should be accounted for whenever possible (Briggs et al., 2022).

**Need for microbial mark-recapture**—Mark-recapture has not explicitly been incorporated into the study of microorganisms (Douglas, 2018). While it is increasingly common to describe microbial population dynamics in natural settings with amplicon sequencing and shotgun metagenomics, these approaches are indirect and unable to efficiently track individual lineages and their associated genetic information (Bruger & Marx, 2018; Ellegaard & Engel, 2016). Although genome sequencing is becoming more affordable, it is not always effective for tracking low-frequency lineages or for quantifying statistically small yet biologically meaningful differences in fitness (Blundell & Levy, 2014; Bruger & Marx, 2018; Chubiz et al., 2012; Robinson et al., 2014). Mark-recapture methods would serve as a powerful tool for studying a range of biological phenomena using pre-defined lineages (Box 1).

**Leveraging mark-recapture for microbial science**—In microbiology, markers tend to involve



**FIGURE 1** Strategies to mark and track organisms. (A) Traditional mark-recapture. Boxes indicate organisms that were collected in a particular area, which are then marked ( $M$ ). The marking process allows researchers to come back to this area in a future resampling event to estimate the populations size based on the number of marked and new unmarked (grey) individuals captured. The simplest equation is the Lincoln-Petersen estimator, which uses the initial number of marked individuals ( $M_i$ ), the final number of marked individuals captured ( $M_f$ ), and the total number of marked and unmarked individuals in the recapture event ( $C_{\text{total}}$ ) to estimate the population size ( $N_{\text{total}}$ ) (Krebs, 1999). In the example, the population size is 16 individuals. (B) Traditional microbial marking techniques. A common approach is to engineer a strain, for example, with an antibiotic resistance selection cassette (Abx) encoded on a plasmid. This allows for identifying the number of colony-forming units (CFUs) that grow with and without the antibiotic to determine the changes in abundance over time in an experiment. (C) Molecular mark-recapture. The process of barcoding individual strains involves first identifying candidate neutral regions in a genome where a barcode (BC) can be integrated into the focal strain (Buckley et al., 2012). A key step in this process is to confirm that the barcode insertion has little to no effect on population fitness of the focal strain. Following this step, experiments can be performed with samples containing barcoded strain(s), and their abundance can be tracked over time with quantitative sequencing methods.

genomic modification or selection on traits that have a discernable phenotype (Figure 1B). Antibiotic resistance is a useful marker that can be developed through selection of spontaneous mutants or the insertion of drug-resistance cassettes either directly into the genome or by introducing cloned plasmids into a cell (Alseth et al., 2019; Gómez & Buckling, 2011; Reyrat

et al., 1998). Another commonly used technique involves the insertion of fluorescent protein-encoding genes downstream of promoters, which can either be expressed constitutively or under a given set of conditions (Landete et al., 2016; Schlechter et al., 2018). These so-called reporter genes can be useful for tracking populations. For example, fluorescently labelled

**BOX 1 Applications of microbial mark-recapture in microbial science**

Competition-colonization trade-offs	Co-existence among strains in patchy environments can be mediated by spatial niche partitioning (Livingston et al., 2012). This is of particular interest for microbes that transition between a free-living phase in the environment and a host-associated phase (Bashey, 2015). Molecular barcoding has been used to understand the process of competitive colonization in a host by tracking barcoded lineages as they transition into the host environment, like pathogens in the mammalian gut (Abel et al., 2015; Hullahalli & Waldor, 2021), and have linked important traits associated with competitive colonization of a host, such as quantifying the degree of nitrogen fixation in barcoded rhizobia living inside nodules (Mendoza-Suárez et al., 2020).
Cross-feeding	Interactions between and within microbial species are commonly mediated by metabolite exchange (Douglas, 2020). Observing these processes can be challenging due to changes in flux and turnover of the metabolite pool. Molecular barcoding has been used to identify auxotrophies that promote cooperation through division of labour (Noto Guillen et al., 2021) as well as to identify cross-fed metabolites that mediate higher-order metabolic interactions in multi-species consortia that cannot be predicted from pairwise interactions (Morin et al., 2018). Much of the molecular barcoding work is done under simple laboratory conditions, but its application could be expanded to consider more complex environments and different taxa.
Dispersal	The active and passive movement of microorganisms is widespread in the wild (Barraud et al., 2015; Choudoir & DeAngelis, 2022; Shen et al., 2018). Dispersal has important implications for population dynamics and community assembly processes (Custer et al., 2022). Barcode labelling of individual microbial strains can enhance our mechanistic understanding on how dispersal impacts an organism's lifecycle, ecological interactions, and gene pool with direct source tracking that is well suited to be combined with current manipulation techniques (Vannette & Fukami, 2017).
Experimental evolution	A common use of molecular barcoding is to track individual lineages over time to observe changes in population genetics in experimental evolution assays. The number of lineages considered can be scaled to thousands of otherwise isogenic cells with different barcodes to infer the distribution of fitness effects in a population under selection (Bruger & Marx, 2018). Over time as the genome changes with de novo mutations and gene flow, it is possible to follow the trajectory of each barcoded strain to track potential beneficial mutations. In simple laboratory experiments, processes like selective sweeps (Levy et al., 2015; Venkataram et al., 2016), clonal interference (Chubiz et al., 2012), genetic drift and lottery effects (Wright & Vetsigian, 2019), and the role of standing genetic variation on lineage trajectories (Jasinska et al., 2020) have been inferred. Important barcoded lineages identified can then be isolated and resequenced to determine the genetic basis for their rise in the population (Chubiz et al., 2012; Venkataram et al., 2016). Future applications could expand on experimental designs with more biologically and physically complex backgrounds.
Genotype-to-phenotype mapping	Molecular barcoding of forward genetic screens is a quantitative approach to identify the genetic basis for phenotypes of interest (Mutalik et al., 2020; Wetmore et al., 2015). By adding a barcode to each mutation made in a genome-wide mutagenesis screen, for example, it is possible to quantitatively track a pool of mutants with better precision than ever before to characterize changes in genotype-phenotype networks (Cain et al., 2020; Wetmore et al., 2015). For example, this approach has been used to identify candidate functions for proteins of unknown function in conjunction with high-throughput growth assays to identify metabolite transport enzymes that often are annotated with generalized functions as well as putative DNA repair enzymes (Price et al., 2018).
Invasion	The invasion of microorganisms into new environments involves several selective hurdles, including colonization and persistence in the new environment due to competition with resident taxa and other novel abiotic factors (Mallon et al., 2015). Apart from the fundamental knowledge that can be gained on community assembly and coalescence processes through source tracking of barcoded strain(s) (Rillig et al., 2015), there are numerous applications of this theory to microbial-mediated therapies in probiotics, biopesticides, biofertilizers, and bioremediation (Albright et al., 2020).
Mobile genetic elements	Although the tracking of subcellular features is not the typical focus of traditional animal and plant mark-recapture, microbes evolve on ecological time scales. Gene flow in a population or a community can be mediated by horizontal transfer of mobile genetic elements (Ma et al., 2019; Saak et al., 2020), which could be tracked among lineages using mark-recapture. This technique has been successfully used to determine population dynamics of influenza during host colonization to identify bottlenecking events impacting transmission (Varble et al., 2014).
Spatial organization	The spatial heterogeneity of microbial populations and communities is often ignored when sampling the bulk pool of nucleic acids, proteins, and metabolites in environmental systems. This results in the loss of spatial information that can be used to determine where and how microbial cells interact (Tropini et al., 2017). Combining tools like molecular barcoding with recent advances in fluorescence in situ hybridization could lead to the detection of the spatial organization of over 1000 distinct fluorophores and barcodes in a single sample (Shi et al., 2020). Together, this work can be combined with other emerging single-cell techniques (Sharma & Thaiss, 2020) to determine the rules of engagement across varying taxonomic scales and environments.

microbes can be detected with epifluorescent microscopy to visualize and quantify individual cells (Matthysse et al., 1996).

However, traditional microbial marking methods have drawbacks that violate critical assumptions of mark-recapture. Genetic modifications and selection procedures often affect survivorship and reproduction of marked individuals. It is costly to express fluorescent proteins and export toxic drugs across the cell membrane (Durão et al., 2018; Rang et al., 2003). The energetic requirements to maintain these markers can bias estimates of fitness and alter ecological interactions among taxa (Sugar et al., 2012). Another downside is that natural selection can lead to the loss of costly markers from some or all individuals in a focal population, preventing the reliable recapture of marked organisms (Schlechter et al., 2018).

Molecular barcoding provides a means to overcome some of the challenges that are commonly associated with traditional markers. It was first described almost 20 years ago for practical use in biosecurity and bioremediation (Block et al., 2004) before the technology was in place to attempt such a feat in a high-throughput quantitative manner (Shoemaker et al., 1996). The basic premise of barcoding involves the insertion of an artificial stretch of non-functional DNA with a unique sequence of nucleotides, which can be recaptured from a sample with different quantitative tools (Figure 1C). One important feature is that the DNA barcode is heritable, which enables the barcoded lineage to be tracked across generations. A second important feature is that, when carefully developed, barcodes can serve as effectively neutral markers. A short DNA barcode (~10 bp) can be inserted into a genome at a location that does not disrupt cellular functions or result in any phenotype that might be acted upon by natural selection, such as inside intergenic regions of the genome (Block et al., 2004; Buckley et al., 2012). A final feature of a DNA barcode is that it should be easy to detect and quantify. For example, molecular barcoding can be scaled with only one or a few barcoded strains monitored by quantitative polymerase chain reaction (Buckley et al., 2012) to thousands of barcoded lineages tracked with amplicon sequencing (Jasinska et al., 2020). With the approach of molecular barcoding, the evolutionary ecology of focal population(s) can more easily be studied in systems spanning degrees of complexity. For instance, barcoded strains can be introduced into communities with low versus high diversity to understand how biotic interactions modify microbial responses to environmental conditions (temperature, physical structure, pH, etc.).

**Does barcoding meet the mark?**—Although it has some advantages over conventional tools, it is important to evaluate whether or not molecular barcoding meets assumptions of mark-recapture. Below, we critically evaluate each of the major assumptions in turn.

1. *The marker is not lost.* One of the key assumptions of mark-recapture is that the marker is not lost during the lifespan of an individual. Similarly, the marker cannot be shared with other individuals of the population. In contrast to traditional mark-recapture, the molecular barcode cloned into a microorganism is vertically inherited over generations. Mutations will inevitably hit a barcoded region over extended periods of time, which may affect recovery. However, for most purposes, barcode insertion is generally stable over time, thus satisfying a major assumption of mark-recapture (Buckley et al., 2012),
2. *The marker is not misread.* In general, barcodes can be distinguished with a high degree of precision and accuracy. Additional steps can be taken to reduce the probability of misidentification. It is possible to engineer barcodes to differ from one another by manipulating the barcode length, nucleotide composition, and/or genomic location. In experiments that use multiple markers, minimizing sequence similarity among barcodes can improve barcode assignment accuracy as de novo mutations and sequencing errors can change nucleotide composition of the barcode (Ali et al., 2021; Buschmann, 2017).
3. *Marking does not influence an individual's performance.* If the barcode insertion has no phenotypic effects, then this assumption should be satisfied. One needs to be careful, however, since tests of barcode neutrality are likely to be performed under simple laboratory conditions (Figure 1C) and may not extend to more complex environmental settings.
4. *Marked and unmarked individuals have an equal chance of being recaptured.* In traditional mark-recapture, population size is estimated by the ratio of marked and unmarked individuals. With molecular barcoding, unmarked individuals are excluded from microbial mark-recapture. Therefore, the recapture event will only quantify marked microorganisms. While this represents a departure from traditional mark-recapture, it also allows for more precise and sensitive quantification of barcoded individuals from biologically complex communities (e.g. mammalian gut).
5. *Demographic processes do not influence the population size estimate.* In traditional mark-recapture, the frequency of sampling is minimized so that births, deaths, and migration events do not interfere with population size estimates. With molecular barcoding, population size estimates of microorganisms are generally measured from a pool of individuals likely generated over multiple generations, especially in the laboratory where conditions favour growth and reproduction. However, in natural environments, microbes tend to have generation times that are orders of magnitude longer than

under optimal laboratory conditions (Gibson et al., 2018). Therefore, it may be possible to measure the fate of barcoded individuals within a generation under environmental conditions.

**Ethical consideration of releasing barcoded microorganisms**—Risks and regulations must be considered before releasing molecularly barcoded microorganisms into the environment (Saravanan et al., 2022). A primary concern is the spread of recombinant DNA to native microorganisms (i.e. horizontal gene transfer of recombinant DNA). In addition, genetically modified organisms can compete with and displace resident taxa, which could disrupt ecosystem processes (Myhr & Traavik, 1999). In order to safeguard against these outcomes, controlled studies are required to evaluate risks (Myhr & Traavik, 1999).

There are several strategies that can be employed to mitigate the risks associated with the release of barcoded microorganisms. Features of molecular barcoding are more appealing than alternative strategies relying on transgenes, such as fluorescent protein gene markers, to track microorganisms in nature. In particular, the design of the barcode may be one of the most important features as it is a small artificial stretch of non-functional DNA and is essentially junk DNA that should not pose any selective benefit for the microbe (Buckley et al., 2012; Levy et al., 2015) nor should it be beneficial to a novel host (i.e. plant, animal, or microbe). In fact, barcoding is proposed as a monitoring tool for genetically modified organisms and their transgenes (EFSA Scientific Committee et al., 2020; Wright et al., 2013). Combining the barcode with a suicide gene would reduce the risk of releasing the recombinant DNA by degrading cellular DNA (Li & Wu, 2009). Similarly, the barcode can be combined with a metabolic dependence on a synthetic metabolite, like a non-standard amino acid that does not exist in the natural environment, to limit population growth (Mandell et al., 2015). Furthermore, the use of biological barriers for containment (e.g. host cell containing a microbe) can limit the release of recombinant DNA by containing the barcode inside the cell (Moon et al., 2010). For example, barcoding intracellular symbionts of animals and plants would limit the release of recombinant DNA to microorganisms outside of the host (Arora & Douglas, 2017; Elston et al., 2022; Maire et al., 2021) and could prove to be a useful strategy combined with genetically modified hosts to limit the spread of vectored diseases and insect pests in medicine and agriculture (Raphael et al., 2014; Romeis et al., 2020). Similarly, encapsulating the barcode into an environmentally resistant cell state, such as an endospore, can further limit the spread of recombinant DNA in the environment (Qian et al., 2020).

In the future, society will be confronted with decisions related to challenges presented by climate

change, food security, bioremediation, and emerging pathogens. The use of genetically modified organisms, including barcoded strains, may prompt scientists and governmental entities to reconsider in which cases the benefits of their release outweigh their risks in the face of these challenges.

**Societal benefits of barcoding**—Microbes are used for a wide variety of purposes like bioremediation of pollutants (Saravanan et al., 2022), probiotics in human health (Gosálbez & Ramón, 2015), and pest management of insects (Amarger, 2002), but each application faces similar challenges. In the laboratory, we can identify and even engineer microbes that are highly efficient at specific functions (e.g. oil degradation). However, when the microbe leaves the confines of the laboratory and is introduced to an ecosystem to execute a desired function, there are selective hurdles that must be overcome to ensure colonization and persistence in the new environment (Mallon et al., 2015). Barcoding would enable one to monitor establishment more easily and determine if modifications are required to make the microbial-mediated strategy more successful in future efforts.

Molecular barcoding could also be used with infectious laboratory strains to monitor their accidental release and dispersal (Block et al., 2004; Buckley et al., 2012). For example, debate among the public, scientists, politicians, and governmental regulatory agents focused on the origins of the COVID-19 pandemic, including a spillover event from an animal reservoir host and release from the laboratory (Maxmen & Mallapaty, 2021). In particular, the ever-growing concern of “lab-leaks” of pathogens, like SARS-CoV-2 (Maxmen & Mallapaty, 2021) and anthrax (Sahl et al., 2016), and genetically modified organisms, such as laboratory strains with knock-in mutations that increase the virulence of deadly pathogens (Dance, 2021; Kaiser, 2022), is under constant oversight to ensure the best policies are in place to reduce chances of release (Achenbach, 2022; Maher, 2012). Barcoding strategies could be developed in laboratory strains of concern to include one or more unique identifiers in the genome. By working with barcoded strains, scientists could abate public and political concerns about the accidental release of pathogens during biosecurity management efforts to determine provenance and evolutionary history of a contagion.

**Microbial mark-recapture and beyond**—Mark-recapture of microorganisms has the potential to change how scientists track organisms and lineages in environmental, engineered, and host-associated ecosystems. Molecular barcoding can meet most mark-recapture assumptions to address the study of a range of ecological and evolutionary phenomena in microbial science (Box 1) that have been unattainable owing to limitations of traditional approaches (Figure 1).

The technique of molecular barcoding can be used across the microbial tree of life; not only with bacteria, archaea, and microeukaryotes (Abel et al., 2015; Levy et al., 2015) but also with mobile genetic elements like viruses, plasmids, and transposons (Ma et al., 2019; Varble et al., 2014; Wetmore et al., 2015). With current technologies, molecular barcoding can only be used with culturable microorganisms that are amenable to genetic manipulation. However, given rapid advances in novel cultivation strategies (Chaudhary et al., 2019) along with efforts to expand the list of genetically tractable organisms (Yan & Fong, 2017) and development of CRISPR-mediated barcoding strategies (Kalhor et al., 2018; Kobschull & Zador, 2018), microbial mark-recapture should be applicable in biological systems spanning a range of complexity.

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## AUTHOR CONTRIBUTIONS

**John George McMullen II:** Conceptualization (equal); writing – original draft (lead); writing – review and editing (equal). **Jay T. Lennon:** Conceptualization (equal); funding acquisition (lead); writing – review and editing (equal).

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## CONFLICT OF INTEREST

The authors declare no conflict of interests.

## ORCID

John George McMullen II  <https://orcid.org/0000-0002-6675-3983>

Jay T. Lennon  <https://orcid.org/0000-0003-3126-6111>

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